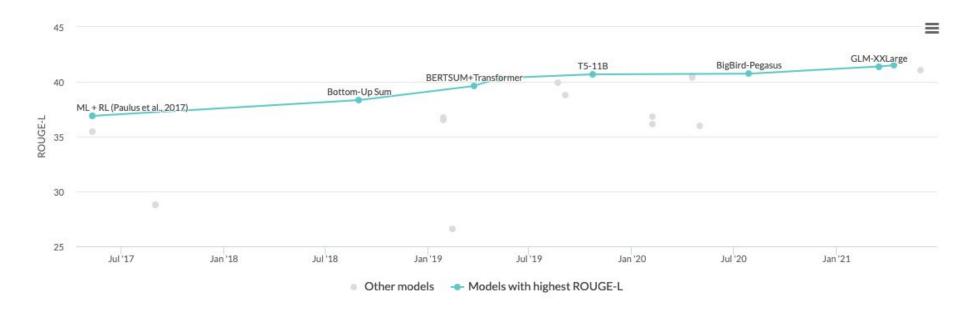


It's time to fix evaluation of generated text

Sebastian Gehrmann <u>gehrmann@google.com</u> @SebGehr

Google Research



Good News! We are making progress toward solving summarization.



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Reference Michael Dahlquist (December 22, 1965 - July 14, 2005) was a drummer in the Seattle band Silkworm.

Candidates

Michael Dahlquist (December 22, 1965 - July 14, 2005) was a drummer in the California band Grateful Dead.

Michael Dahlquist (December 22, 1965 - July 14, 2005) was a drummer.

Michael Dahlquist (December 22, 1965 - July 14, 2005) was a drummer from Seattle, Washington.

Reference Michael Dahlquist (December 22, 1965 - July 14, 2005)

was a drummer in the Seattle band Silkworm.	·	

Candidates

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Michael Dahlquist (December 22, 1965 - July 14, 2005) was a drummer from

Metrics prefer bad generations over good ones.

BLEU

0.79

0.71

0.73

Dhingra et al., 2019

Seattle, Washington.

ROUGE

0.77

0.79

0.70

ROUGE and its problems...

"ROUGE may **not be a good method** for measuring the usefulness of summaries **when the summaries are not extractive**." Dorr et al., 2005

A system's ability to produce human-like outputs may be completely **unrelated to its effect on human task-performance**.

Belz+Gatt, 2008

Metrics may provide a useful measure of language quality, although the evidence for this is not as strong as we would ideally like to see; however, they do not provide a useful measure of content quality.

Reiter+Belz, 2009

Luckily, we are not using ROUGE to measure content quality of abstractive summaries, right? Right?

Agenda

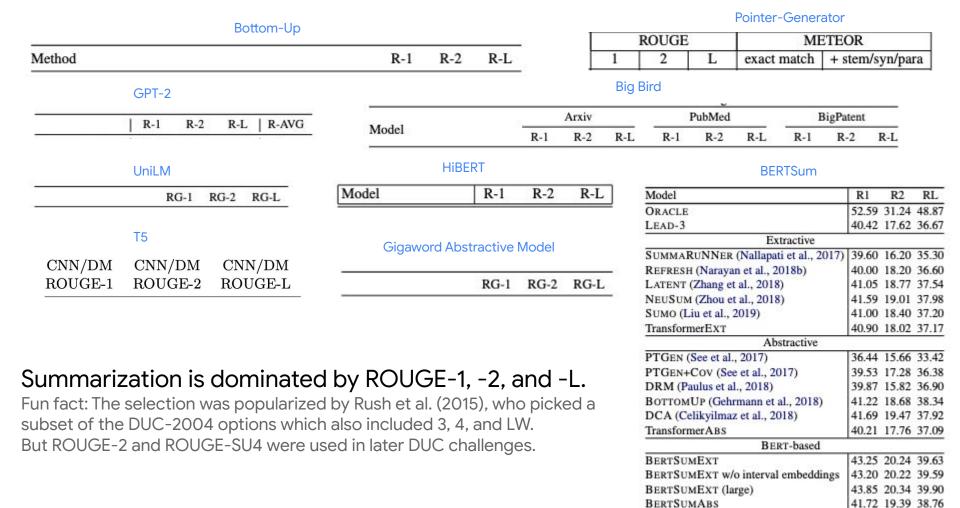
- ⁰¹ A brief preview
- ⁰² Automatic evaluation is broken
- O3 Human evaluation is broken
- O4 Datasets are broken
- ⁰⁵ How do we fix things?

02

Automatic Evaluation is broken

We suspect that ROUGE is not great.

So let's see what people are using.



Sepastian Genrmann, Google Research, 2021

42.13 19.60 39.18

BERTSUMEXTABS

A very scientific survey.

I read 20 modeling-focused summarization papers from ACL 2021 and recorded the following evaluation aspects:

- 1) Automatic metrics
- 2) Human evaluation criteria [if applicable]
- 3) Dataset(s)

Throughout the talk, I will show the results.

A very scientific survey.

I read 20 modeling-focused summarization papers from ACL 2021 and recorded the following evaluation aspects:

- 1) Automatic metrics
- Human evaluation criteria [if applicable]
- 3) Dataset(s)

Throughout the talk, I will show the results. On the right, you can see the metrics.

```
ROUGE 20 — 100%

BERT-score 7 — Never validated for summ.

FeQA 1 — Summarization Metrics!

QAGS 1 — MoverScore 1

Other-Diversity 2

Other-Entailment 1

Other-Faithfulness 2

Some kind of human eval* 9 — <50%
```

But just how bad is ROUGE?

And how do you evaluate a metric? Let's look at some more recent studies.

ROUGE F-Scores may not be enough.

Recall, that the use of F-scores for ROUGE 1, 2, and L is essentially arbitrary. It may also be strictly suboptimal.

In a study correlation of assessment scores of all possible 192 ROUGE configurations found that the best performing one was to use BLEU instead.¹

The best ROUGE was ROUGE-2 precision with stemming and removed stopwords.

→ If using ROUGE, consider reporting fine-grained scores.

N-gram	Count	Stemming	g
R-3 28.7 R-2 25.0 R-4 18.8		Not Stemmed Stemmed	53.8 46.2
R-1 R-L R-W R-S4	7.5 7.5 7.5 2.5		56.2
R-SU4	2.5	Removed	43.8
Summary-lo		System-level	Agg.
Prec. F-score Recall	52.5 25.0 22.5	8	53.7 36.3

Table 2: Proportions of optimal ROUGE variants attributed to each ROUGE configuration option (%).

How can we evaluate with lexical overlap, if humans don't even agree with each other?

Data: 100 samples from the CNN/DM test set.

Unconstrained: Every annotator selects sentences in	n the
input they consider important.	

Constrained: Every annotator selects sentences with answers to three questions related to the document.

Even when only 3/5 people have to agree on a sentence, there is 0.6 sentences per document on which all agree.

→ When there is only one reference, we can't use lexical overlap to capture everyone's summarization preferences.

	Sent. per article considered importan		
Human vote threshold	Unconstrained	Constrained	
=5	0.028	0.251	
$ \geq 4 $ $ \geq 3 $ $ \geq 2 $	0.213	0.712	
≥ 3	0.627	1.392	
≥ 2	1.695	2.404	
≥ 1	5.413	4.524	

The correlation between human judgements and ROUGE is poor.

For 100 CNN/DM test examples, ask 5 raters to judge:

- Relevance: selection of important content from the source
- Consistency: factual alignment between the summary and the source
- Fluency: quality of individual sentences
- **Coherence**: collective quality of all sentences.

All 5 judgements are averaged.

Then, measure Pearson's correlation coefficients and Kendall rank correlation coefficients between judgements and ROUGE.

	Pearson correlation		Kendall	rank co	rrelation	
	R-1	R-1 R-2 R-L		R-1	R-2	R-L
Relevance	0.03	0.02	0.02	0.28	0.29	0.27
Consistency	0.02	0.01	0.01	0.28	0.28	0.27
Fluency	0.05	0.03	0.04	0.26	0.28	0.27
Coherence	0.05	0.04	0.05	0.27	0.27	0.27

Repeating the evaluation at scale does not results in (much) better results

Same dataset + criteria, but 8 annotations per example (5 Mturkers, 3 experts) and 16 systems.

Results are similarly not great.

Some "unconventional" summarization metrics like ROUGE-3 and METEOR perform better than "standard" ROUGE settings.

→ We need better metrics.

Metric	Coherence	Consistency	Fluency	Relevance
ROUGE-1	0.2500	0.5294	0.5240	0.4118
ROUGE-2	0.1618	0.5882	0.4797	0.2941
ROUGE-3	0.2206	0.7059	0.5092	0.3529
ROUGE-4	0.3088	0.5882	0.5535	0.4118
ROUGE-L	0.0735	0.1471	0.2583	0.2353
BertScore-p	0.0588	-0.1912	0.0074	0.1618
BertScore-r	0.1471	0.6618	0.4945	0.3088
BertScore-f	0.2059	0.0441	0.2435	0.4265
BLEU	0.1176	0.0735	0.3321	0.2206
CHRF	0.3971	0.5294	0.4649	0.5882
CIDEr	0.1176	-0.1912	-0.0221	0.1912
METEOR	0.2353	0.6324	0.6126	0.4265

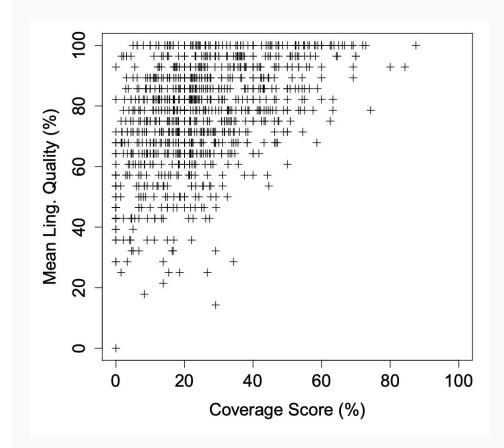
Kendall-Tau rank correlation of different metrics

A single metric is not enough.

Human annotations of DUC-2004 \rightarrow almost no correlation between linguistic quality and coverage, but coverage is almost never higher than linguistic quality.

This finding is consistent with <u>Pitler et al. (2010)</u> who find correlations between some evaluation categories, but not between linguistic and content quality.

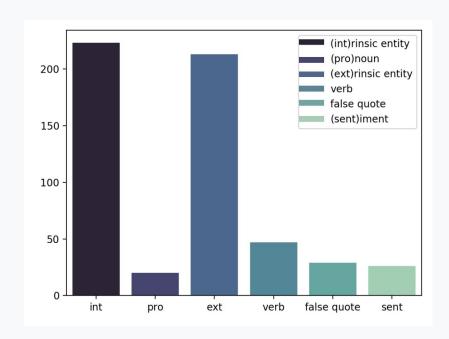
→ We cannot rely on a single metric to provide all details.



We can go deeper. Can we audit metrics?

If we know common hallucinations, we can inject them into the references and test if a metric score decreases.

Scores of a well-calibrated metric should negatively correlate with monotonically increasing number of errors.



We can go deeper. Can we audit metrics?

			STAND A	ARD and CONT	EXTUAL	
If we know c		R-1	R-2	R-3	R-L	BERTScore
the reference	Upper Bound	10.61	2.56	0.72	9.32	83.76
Scores of a	Level 1	10.49 / 10.76	2.54 / 2.56	0.70	9.22 / 9.42	83.53 / 83.56
correlate wi	Level 2	10.40 / 10.86	2.51 / 2.54	0.69 / 0.68	9.16 / 9.49	83.36 / 83.38
	Level 3	10.33 / 10.92	2.49 / 2.52	0.69 / 0.67	9.10 / 9.55	83.21 / 83.26
	Lower Bound	5.44	0.39	0.01	4.94	80.08
	Correlation	-1.00 / 0.98	-0.97 / -1.00	-0.87 / -1.00	-1.00 / 1.00	-1.00
	p-value	0.03* / 0.10	0.16 / 0.05*	0.33 / 0.05*	<0.01** / 0.02*	0.02* / 0.06

Left: entity errors, Right: non-entity errors

Also note that this is system-level correlation, not segment.

.

^{ightharpoonup} Most metrics are calibrated, but R-1+R-L fail completely.

Let's look deeper into faithfulness.

A model not faithful if it hallucinates.

Intrinsic: A model misrepresents facts in the input "Former London mayoral candidate" → "Former London mayor"

Extrinsic: A model ignores the input

"mayoral candidate Peter" → "**mayor Sara**"

Factual: A model hallucinates facts that are true

"mayoral candidate Peter" \rightarrow "2016 mayoral candidate Peter"

Factual hallucinations may be acceptable.

Semantic or lexical similarity does not help for these fine-grained determinations.

 $\mbox{$\rightarrow$}$ When assessing a model, entailment-type metrics may be necessary to detect hallucinations.

Faithful	Factual
0.197	0.125
0.162	0.095
0.162	0.113
0.190	0.116
0.044	0.027
0.431	0.264
	0.162 0.162 0.190 0.044

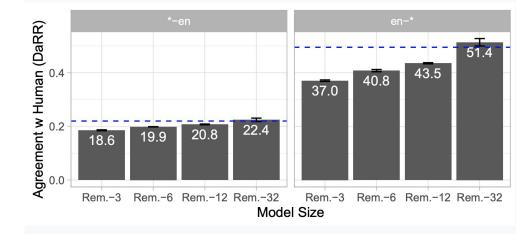
Table 4: Spearman's correlation coefficient ($|r_s|$) of different metrics with faithful and factual annotations.

A glimmer of hope on the horizon

Trained metrics can have much higher correlations.¹

"Only" requirements:

- 1) Many high-quality annotations
- 2) Large pretrained models



DaRR score to- and from- English translations across model sizes.

Let's build better metrics!

But, how do we get people to adopt it?

It has to be fast, and easy to use, and work for all languages, and all tasks, and ...

Great, let's do that!

Not so fast! We need high-quality data first.

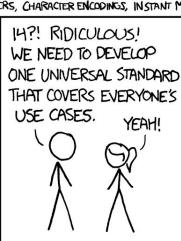
How do we get that?

Human evaluation.

Do we know how to do human eval? No, not really.

But you said that human eval is necessary! Let's move to the next section. HOW STANDARDS PROLIFERATE: (SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)

SITUATION: THERE ARE 14 COMPETING STANDARDS.





03

Human Evaluation is broken

Takeaways so far

One number cannot characterize all performance aspects of a model output

→ We need multiple specialized metrics.

None of our metrics correlate well with human judgements

→ **Human evaluation is** a **necessary** component of model evaluation.

Trained metrics can potentially have much better correlations

→ We need **many** high quality human annotations.

Coming back to the survey

9/20 papers used human evaluation.

But what were they assessing?



Wide range of criteria, there is no agreement here.

And the problem runs even deeper.

```
Informativeness 5
Conciseness/Succinctness 4
Fluency 4
Relevance/Salience 4
Coherence 2
Consistency 2
Coverage 1
Error Classifications 1
Factualness 1
Faithfulness 1
Grammaticality 1
Meaning-Preserving 1
```

What is being measured?

In a study of 478 INLG papers, the authors found:

- 204 unique names of quality criteria.
- 71 truly different aspects.

Similar aspects may be considered equal by readers: Spelling Accuracy vs. Correctness of the Surface Form

Often, details are not provided: >50% missing definitions (279/478)

- ~66% missing evaluator prompts/questions (311/478)
- 20% missing criteria names (98/478)

→ We need to understand what is measured and not group different annotations into one bucket

Criterion Paraphrase	Coun
usefulness for task/information need	39
grammaticality	39
quality of outputs	3:
understandability	30
correctness of outputs relative to input (content)	29
goodness of outputs relative to input (content)	2
clarity	1'
fluency	1′
goodness of outputs in their own right	1
readability	1
information content of outputs	1
goodness of outputs in their own right	
(both form and content)	1:
referent resolvability	1
usefulness (nonspecific)	1
appropriateness (content)	1
naturalness	1
user satisfaction	1
wellorderedness	1
correctness of outputs in their own right (form)	
correctness of outputs relative to external	
frame of reference (content)	
ease of communication	,
humanlikeness	,
appropriateness	
understandability	
nonredundancy (content)	
goodness of outputs relative to system use	
appropriateness (both form and content)	

ch, 2021

Howcroft et al., 2020 names.

How is it being measured?

Form	Count
direct quality estimation	207
relative quality estimation	72
(dis)agreement with quality statement	48
classification	38
task performance measurements	35
qualitative feedback	20
evaluation through post-editing/annotation	18
unclear	15
user-system interaction measurements	10
counting occurrences in text	8
user-text interaction measurements	6
other	1

Howcroft et al., 2020

How is it being measured?

Positive and Negative Framing

How much more fluent is sentence A versus sentence B?

→ implicitly prime rater that A is better than B

Demand Characteristics

We consider sentences that end with "." as more formal than sentences that end with "!"

→ Biases raters to pay more attention to model artifacts

Anchoring and Adjusting

Select sentences from model A as examples in the instruction

→ Biases raters to prefer outputs that look like A over B.

Form	Count
direct quality estimation	207
relative quality estimation	72
(dis)agreement with quality statement	48
classification	38
task performance measurements	35
qualitative feedback	20
evaluation through post-editing/annotation	18
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other	1

Howcroft et al., 2020

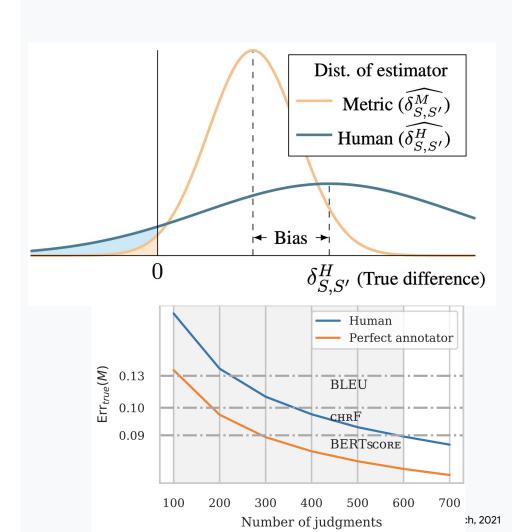
How many annotations do we need?

Humans measure the "true" difference between two systems, but have **high variance**. Metrics have lower variance, but are **biased**. Both are sources of errors.

As models get better, the differences between them get smaller. As a result, we need more annotator judgements.

To detect a difference of 1 point on a 1-100 scale in WMT, we need 10,000 perfect annotator judgements.

Yet, most annotations in my survey had n=100 or smaller.



Who is measuring? And why may this be a problem?

Some aspects are easier to assess without professional raters (linguistic quality vs. content quality).

Crowdworkers tend to much have a higher variance than professional raters

Agreement between ratings produced by linguists and those from crowdworkers can be extremely low.

TAC 0.28 0.40 0.13 0.05 0.05	Eval	Judges	Topics	Systems
MTurk 0.44 0.13 0.05	TAC	0.28	0.40	0.13
	MTurk	0.44	0.13	0.05

Table 4: Linear regression is used to model Overall Quality scores as a function of judges, topics, and systems, respectively, for each data set. The \mathbb{R}^2 values, which give the fraction of variance explained by each of the six models, are shown.

MTurk workers also had a much higher correlation between linguistic and overall quality than experts.

Gillick + Liu, 2010



We need methods to deal with noisy ratings.

Text Analysis Conference Summarization track evaluation:

- Each assessor is assigned to a topic and evaluates all summaries, even duplicate ones
- We can identify within-annotator consistency

CLASSY is a (non-neural!) logistic regression model trained on these ratings

 \rightarrow Excluding the most inconsistent annotated data can lead to higher correlation.

	NoModels		AllPeers	
	main	update	main	update
Pyramid				
CLASSY1_Pyr	0.956	0.898	0.945	0.936
CLASSY1_Pyr_new (a)	0.950	0.895	0.932	0.955
CLASSY1_Pyr_new (b)	0.960	0.900	0.940	0.955
Responsiveness				
CLASSY2_Resp	0.951	0.903	0.948	0.963
CLASSY2_Resp_new	0.954	0.907	0.973	0.950
CLASSY4_Resp	0.951	0.927	0.830	0.949
CLASSY4_Resp_new	0.943	0.928	0.887	0.946
Readability				
CLASSY3_Read	0.768	0.705	0.844	0.907
CLASSY3_Read_new	0.793	0.721	0.858	0.906

Numbers are correlation between output and measure of the subsection.

What do noisy ratings mean for metrics?

Surprise #1

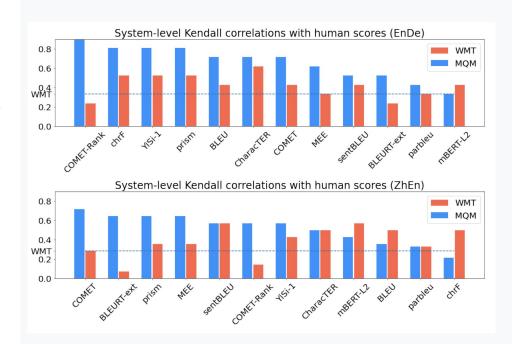
Metrics agree more with the high-quality annotations than with noisy ones, despite being trained on noisy annotations.

Surprise #2

Metrics have a higher agreement with MQM than WMT has with MQM.

Surprise #3 not really

Previous findings about metric quality rankings are wrong.



What can we do about this?

We don't know what is being measured and how.

→ Write human evaluation datasheets (Shimorina + Belz, 2021)

None of our results are statistically significant.

→ Estimate the effect size before running evaluations and use significance tests to verify results.

Expert raters provide much better results than crowdworkers.

→ Verify crowdsourcing results multiple times and think what qualifications are required for what you want to measure.

→ Don't treat human evaluation as the ultimate answer to all evaluation problems

So far...

- Our metrics don't measure what we want (at least not well).
- Human evaluation can help evaluate models and develop metrics, but only in theory.

What about our datasets?
What does a better score on dataset X mean?

04

Datasets are broken

So far...

- Our metrics don't measure what we want (at least not well).
- Human evaluation can help evaluate models and develop metrics, but only in theory.

What about our datasets?
What does a better score on dataset X mean?

And what is this dataset X? Let's look at the survey.

BIGPATENT 1 CQASumm 1 DUC QFS 1 **EmailSum 1** En2ZhSum/Zh2EnSum 1 FacetSum 1 CNN/DM 5 Justice (Chinese) 1 AMI₄ MATINF (google translate to En) 1 XSum 4 Medical 1 Amazon Review Dataset 2 MeQSum 1 WikiSum 2 Multi-News 1 NYT₁ NYT-Comments 1 Reddit 1 SAMSum 1 Spotify Podcast 1 StackExchange 1 TD-QFS₁ Timeline Summarization 1 W3C 1 Yelp Review Dataset 1

ArXiv / PubMed 1

What does the survey tell us?

- 27 different datasets in 20 papers
- Only two non-English datasets
- CNN/DM remains the most popular dataset

- → How can we as a field make progress on improving summarization if we don't have a (good) standard benchmark?
- → Also, how can we say we are making progress if we focus on a single language?

BIGPATENT 1 CQASumm 1 DUC QFS 1 **EmailSum 1** En2ZhSum/Zh2EnSum 1 FacetSum 1 CNN/DM 5 Justice (Chinese) 1 AMI₄ MATINF (google translate to En) 1 XSum 4 Medical 1 Amazon Review Dataset 2 MeQSum 1 WikiSum 2 Multi-News 1 NYT₁ NYT-Comments 1 Reddit 1 SAMSum 1 Spotify Podcast 1 StackExchange 1 TD-QFS₁ Timeline Summarization 1 W3C 1 Yelp Review Dataset 1

ArXiv / PubMed 1

Problem #1: Noise

Reference summaries often contain extraneous information, such as hyperlinks and click-bait descriptions of other articles

Raters prefer lead-3 over the CNN/DM reference.

→ Can we expect faithful models if our data is not?

read: falcao still 'has faith' that he could continue at man utd next season. click here for the latest manchester united news.

Doesn't that make the whole CNN/DM task pointless?

Models	H	allucina	ited	Faith.	+Fact.
	I	\mathbf{E}	$\mathbf{I} \cup \mathbf{E}$	raiui.	
GOLD	7.4	73.1	76.9	23.1	_

Problem #2: Splits

Results look completely different depending on how the test set was constructed.

A good model should do well on all expected data during deployment in a live scenario. Not just i.i.d. data.

Model	Standard	Random	Heuristic	Adversarial	New Samples
seq2seq	0.073	0.095	0.062	0.040	0.069

Problem #2: Splits

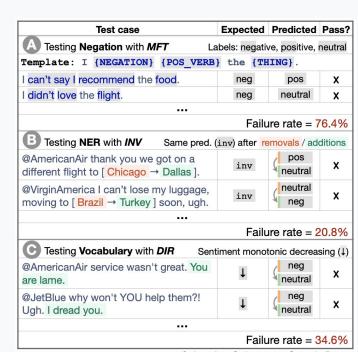
Results look completely different depending on how the test set was constructed.

A good model should do well on all expected data during deployment in a live scenario. Not just i.i.d. data.

But, most datasets only have one test set. How do we test calibration?

→ We need focused challenge sets to test capabilities.

			Splits					
Task	Model	Standard	Random	Heuristic	Adversarial	New Samples		
HEADLINE GENERATION*	seq2seq	0.073	0.095	0.062	0.040	0.069		



Problem #3: New Concepts

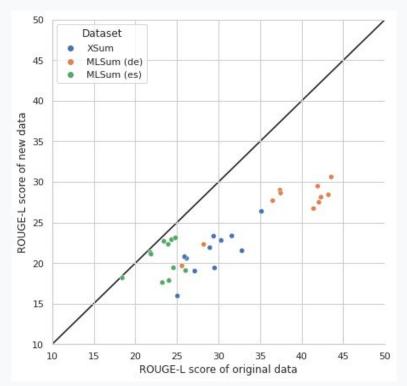
Training sets usually remain static, but real test data does not.

How does a model perform for new concepts?

We created 3 test sets for pre-2020 datasets:

- XSum (En)
- MLSum (De)
- MLSum (Es)

Original collection method, but COVID-19 related articles.



Each dot represents one model.

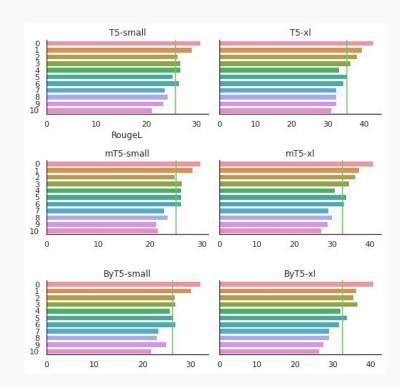
Problem #4: Style

Performance should not depend on the reference style.

We split the XSum test set into 10 buckets depending on reference abstractiveness.

The more abstractive a reference, the lower the score.

Similar finding in MT (Freitag et al., 2020)



What can we do about this?

- → Document limitations, issues, and social impact (Gebru et al., 2018, Bender + Friedman, 2018).1
- → Create evaluation suites instead of i.i.d. test sets.
- → Evaluate worst-case performance, not only average.

→ Think of a dataset, its splits, and documentation as a "living" object instead of a static entity.

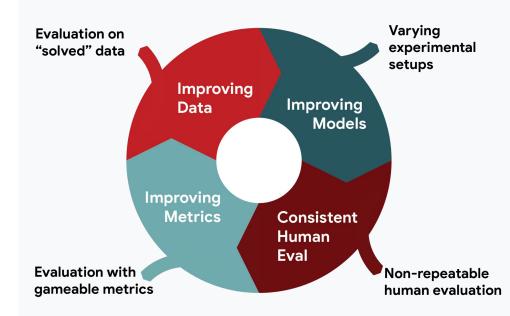
04

So how do we fix things?

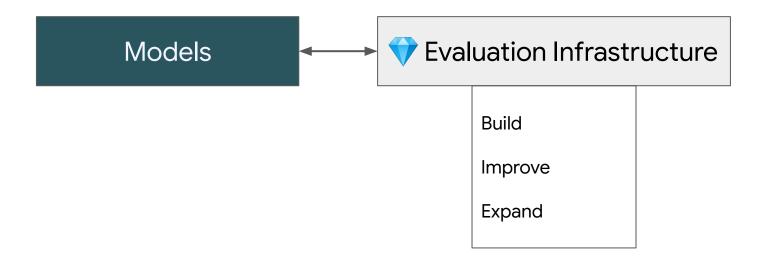
We need to break through this circular dependency.

At the moment, we can't identify whether and how our models **fail**, or whether failure is **attributable** to the data, model, or evaluation.

→ A single researcher cannot solve every problem. We thus need easy-to-use infrastructure to stay up to date with the latest developments, combining everyone's strengths.

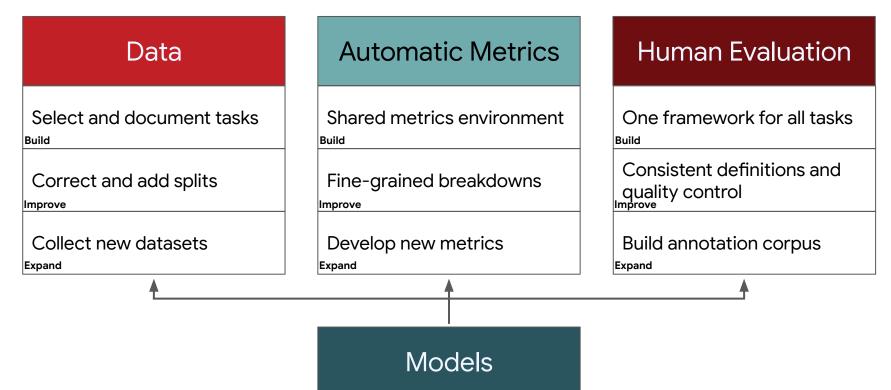


This is what we are trying with the **G**eneration, **E**valuation, and **M**etrics Benchmark.

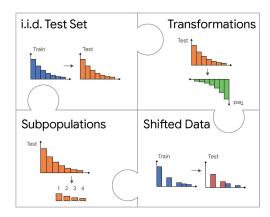


Instead of dictating what should be used, let's make it easy to explore the right way to do things.

What does building infrastructure entail?



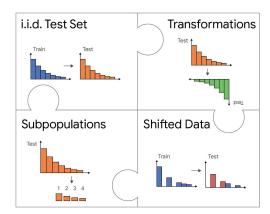
Let's unbreak data



Develop transformations and filters of datasets to test robustness and performance on subpopulations.

Instead of chasing the highest number, try to break models.

Let's unbreak data



Develop transformations and filters of datasets to test robustness and performance on subpopulations.

Instead of chasing the highest number, try to break models.

LIST OF TASKS

The list below links to data statements [1, 2] for each of the datasets that are part of GEM tasks. The template used to produce the statements and a guide on how to write them can be found here: [download template] [view guide].

MLSum summarization

Large-scale multilingual dataset for evaluating abstractive summarization

XSum Summarization

Large scale monolingual dataset for evaluating extreme summarization.

WikiLingua Summarization

Large-scale multilingual dataset for evaluating cross-lingual abstractive summarization

WebNLG Structure-to-text

The WebNLG dataset is a large bi-lingual dataset with crowdsourced reference texts and a rather large variety of knowledge in the inputs. A web-based evaluation platform is already existing.

CommonGen Structure-to-text

A medium sized corpus with a unique reasoning challenge and interesting evaluation possibilities.

E2E Structure-to-Text

One of the largest limited-domain NLG datasets and is frequently used as a data-to-text generation benchmark

DART Structure-to-Text

Hierarchical, structured format with its open-domain nature

Czech Restaurant Structure-to-Text

One of a few non-English data-to-text datasets in a well-known domain, covering a morphologically rich language.

ToT To Structure-To-Text

Controlled Table2Text task with non-divergent, annotator-revised text outputs

Wiki-Auto Simplification

Wiki-Auto is the largest open text simplification dataset currently available. For GEM, Wiki-Auto acts as the training set.

TURKCorpus Simplification

TURKCorpus is a high-quality simplification dataset where each source sentence is associated with 8 human-written simplifications.

ASSET Simplification

ASSET is a high quality simplification dataset where each source (not simple) sentence is associated with 10 human-written simplifications.

Schema-Guided Dialog Dialog

Modeling task-oriented dialog.

Currently 13 documented tasks in 18 languages.

Support for loaders in Patasets and TFDS.

Soon 30+ tasks across 40+ languages.

More at https://gem-benchmark.com/data_cards

Let's unbreak metrics

We can use multiple metrics instead of only ROUGE.

Our library computes 100+ statistics and metrics for any generation task.

For supported tasks, it provides fine-grained breakdowns

The library has support for caching, runs non-GPU metrics in parallel, and we are adding many more metrics.

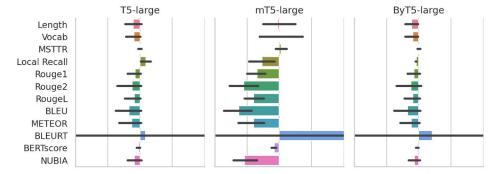
We are hoping that it will make the lives of model developers and metrics researchers easier.

```
python run_metrics.py -s outputs.json -r targets.json -o predictions.json
```

Putting this together - we can develop performance and robustness reports.

Question: How robust is my model to punctuation mistakes?

Answer:



By framing robustness in causal terms and measure multiple response metrics, we can audit models without perfect metric.

Final Lessons

We don't really know how to evaluate models...

But we can do a better job at evaluation

- We can write better documentation
- We can **report more metrics**
- We can frame model results around their robustness

Instead of aiming for higher ROUGE numbers, let's audit models, evaluation approaches, and datasets.

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